

Electronic Acknowledgement Receipt

EFS ID:	8096154
Application Number:	10692067
International Application Number:	
Confirmation Number:	7243
Title of Invention:	System and method for the automated brokerage of financial instruments
First Named Inventor/Applicant Name:	Michael James Tully
Customer Number:	21888
Filer:	Benjamin Lowell Volk/Laurie Poss
Filer Authorized By:	Benjamin Lowell Volk
Attorney Docket Number:	36194/42366
Receipt Date:	27-JUL-2010
Filing Date:	22-OCT-2003
Time Stamp:	12:50:49
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$940
RAM confirmation Number	10235
Deposit Account	200823
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCE.pdf	918821	no	3
			{1111591364801aacc794807126737256233fa}		

Warnings:**Information:**

2		Amendment-RCE.PDF	693659	yes	15
			{8aef82174813e75d89752c181e158cc5d8ffad3b}		

Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment Submitted/Entered with Filing of CPA/RCE	1	1
Claims	2	12
Applicant Arguments/Remarks Made in an Amendment	13	15

Warnings:**Information:**

3	Fee Worksheet (PTO-875)	fee-info.pdf	32212	no	2
			2088003601504d46635706e579f37783359278ba		

Warnings:**Information:**

Total Files Size (in bytes):	16444592
-------------------------------------	----------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.